



Colony Brands, Inc.

Supply Chain Security Profile Customs-Trade Partnership against Terrorism

Supplier Questionnaire

Colony Brands, Inc. (f/k/a The Swiss Colony, Inc.) is a member of the Customs-Trade Partnership against Terrorism (C-TPAT). This program is a key anti-terrorism initiative developed by U.S. Customs and Border Protection (CBP). As a C-TPAT member, Colony Brands must ensure that minimum-security procedures are in place throughout our supply chain. For purposes of C-TPAT, CBP defines the supply chain to extend from the point of origin (manufacturer/supplier/vendor) through to our distribution points in the United States.

We are required to have written and verifiable processes for the selection of business partners including manufacturers, product suppliers and vendors. As part of these processes, we must require you to demonstrate that your company's security procedures meet the minimum-security requirements established by U.S. Customs and Border Protection. Your responses to this questionnaire will help us meet our obligations as members of C-TPAT. Your responses will also help us obtain the benefits of the C-TPAT program, which include a reduced number of inspections when our goods arrive in the U.S.

Your responses and your compliance with the C-TPAT minimum-security criteria are subject to verification by us. We will incorporate the verification into our quality control and social compliance inspections of your facilities. I ask that the questionnaire be completed and returned to me within 30 days.

Please contact me before answering the questionnaire if you have any questions about the minimum-security procedures outlined in this questionnaire. The questionnaire is designed to identify those areas where improvements are needed to meet the minimum-security requirements. The correct identification of a security weakness will not adversely affect our business relationship, but will enable us to work with you to develop a security improvement plan.

You must complete a questionnaire for each facility or location where you manufacture and ship goods to us. You may photocopy this questionnaire as needed. The questionnaire must be signed by an officer of the company. We require an updated form from every factory on a yearly basis.

Thank you,

AJ Schuchart
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Name and Address of Facility:

Container Security 集装箱安全	Yes	No
1. Do you verify the physical integrity of the container structure prior to stuffing or loading? The inspection of the container is intended to identify the presence of false walls or other tampering with the container designed to facilitate unauthorized access to the container after it leaves your facility. 集装箱的整体性检查	<input type="checkbox"/>	<input type="checkbox"/>
2. Does the inspection of the physical integrity of the container structure examine the following seven-points of the container's structure:		
a. Front wall (to detect any false walls) 检查集装箱的前壁	<input type="checkbox"/>	<input type="checkbox"/>
b. Left side 检查集装箱的左壁	<input type="checkbox"/>	<input type="checkbox"/>
c. Right side 检查集装箱的右壁	<input type="checkbox"/>	<input type="checkbox"/>
d. Floor 检查集装箱的地板	<input type="checkbox"/>	<input type="checkbox"/>
e. Ceiling/Roof 检查集装箱的顶部	<input type="checkbox"/>	<input type="checkbox"/>
f. Inside/outside doors 检查集装箱的内/外门	<input type="checkbox"/>	<input type="checkbox"/>
g. Outside/undercarriage 检查集装箱的外部/起落架	<input type="checkbox"/>	<input type="checkbox"/>
3. After the container is stuffed or loaded do you affix a seal to the container? 集装箱装箱后使用封条封箱	<input type="checkbox"/>	<input type="checkbox"/>
4. Does the seal meet or exceed the PAS ISO 17712 standards for high security seals? 所有封条必须符合或超出现行 ISO17712 对高度安全封条的标准	<input type="checkbox"/>	<input type="checkbox"/>
5. Who provides the seals to you? 知道封条由谁提供并写明提供者		
6. Do you maintain an inventory of seals? 将封条由专人拿在手上或者放在安全的别人无法接触到的地方 If yes, please answer question 7-8, if no please skip to question 9.	<input type="checkbox"/>	<input type="checkbox"/>
7. Do you record the seal numbers in a written record upon receipt of a new shipment? 记录封条号/集装箱号	<input type="checkbox"/>	<input type="checkbox"/>
8. Do you record when a seal is used? 记录所使用过的封条号	<input type="checkbox"/>	<input type="checkbox"/>
9. Do you have written procedures to control access to the seals? 对封条有所控制, 避免不必要的接触	<input type="checkbox"/>	<input type="checkbox"/>
10. Do you have written procedures regarding the correct method to affix the seal to the container? 有书面程序规定对封条的管理以及如何贴到装有货物的集装箱上	<input type="checkbox"/>	<input type="checkbox"/>



11. Do you maintain a written log of the seal number and the container number on which it was used? 记录封条号和相对应的集装箱号	<input type="checkbox"/>	<input type="checkbox"/>
12. Do you record the seal numbers on the shipping documents and in your internal records? 在货运单据上记录封条号	<input type="checkbox"/>	<input type="checkbox"/>
13. Do you store containers in a secure area to prevent unauthorized access and/or tampering? 集装箱必须存放在安全区域以避免未经许可的人员进入	<input type="checkbox"/>	<input type="checkbox"/>
14. Do you have written procedures to report unauthorized entry into containers or container storage areas to local law enforcement officials? 应该有书面流程报告和解决未经许可擅自进入集装箱/拖车或集装箱/拖车的存放区域的程序	<input type="checkbox"/>	<input type="checkbox"/>
Physical Security 物理安全		
15. Are all buildings constructed of materials that resist unlawful entry and protect against outside intrusion? 厂区必须是封闭的，安装有物理障碍物和阻止物以阻止人员未经许可进入里面	<input type="checkbox"/>	<input type="checkbox"/>
16. Are cargo handling and storage facilities enclosed by fencing? 货物搬运和存放设施的区域四周必须用围栏包围起来	<input type="checkbox"/>	<input type="checkbox"/>
17. Are the gates through which vehicles enter cargo handling and storage facilities manned and/or monitored at all times when the facility is open? 在工厂开工时，大门必须全天候监控	<input type="checkbox"/>	<input type="checkbox"/>
18. Are the entrance gates closed and locked when the facility is closed? 当工厂下班时，所有门要关上并上锁	<input type="checkbox"/>	<input type="checkbox"/>
19. Do you have written procedures to report unauthorized entry into the facility to local law enforcement officials? 有书面流程报告和解决未经许可擅自进入厂区或装箱区域的程序	<input type="checkbox"/>	<input type="checkbox"/>
20. Do you use video surveillance cameras to monitor the entrances to the facility and the cargo handling and storage areas? If yes, please answer question 21. If no, please skip to question 22. 有监控设备	<input type="checkbox"/>	<input type="checkbox"/>
21. Do you store the tapes for a period of time before reusing them? If yes, how long? 监控录像带必须储存最少 30-60 天时间	<input type="checkbox"/>	<input type="checkbox"/>
22. Is the facility equipped with an alarm system to monitor the entrances to the facility? 工厂装有报警系统	<input type="checkbox"/>	<input type="checkbox"/>
23. Is there adequate lighting inside and outside the facility, including parking areas, fence lines, entrances and exits, and cargo handling and storage areas? 工厂内外有充足的照明设备	<input type="checkbox"/>	<input type="checkbox"/>
24. Are locking devices used to secure external and internal doors, windows, gates and fences? 所有外部和内部的门窗都必须有锁闭装置以确保安全。	<input type="checkbox"/>	<input type="checkbox"/>
25. Do management and/or security personnel control the issuance of keys? 管理人员或安全人员必须控制所有的钥匙的发放	<input type="checkbox"/>	<input type="checkbox"/>
26. Are there separate parking areas for employee/visitor vehicles and the shipping/loading dock and cargo areas? 员工和装卸货必须分开区域停车	<input type="checkbox"/>	<input type="checkbox"/>
27. Do you segregate high-value and/or dangerous cargo in a secure area within the warehouse or storage yard? 高价值/危险品必须有单独存放的仓库	<input type="checkbox"/>	<input type="checkbox"/>
28. Do you have a communication system to contact internal security personnel and/or local law enforcement authorities? 有书面程序列明如何应对突发事件及如何报告相关的执法机关	<input type="checkbox"/>	<input type="checkbox"/>



Access Controls 物理进入控制		
29. Do you have an employee identification system which provides for the positive identification of employees before they can enter the facility? 建立雇员识别系统	<input type="checkbox"/>	<input type="checkbox"/>
30. Do employees have access only to those areas in which they perform their duties? 只有确有工作需要的人才能被允许进入所需区域	<input type="checkbox"/>	<input type="checkbox"/>
31. Do management and/or security personnel control the issuance of employee, visitor and vendor identification badges? 管理者控制来访者和供应商的识别牌（需要有编号）	<input type="checkbox"/>	<input type="checkbox"/>
32. Are visitors required to present photo identification upon arrival? 要求来访者提供具有照片的识别证件	<input type="checkbox"/>	<input type="checkbox"/>
33. Are visitors required to visibly display a temporary identification badge while on the premises? 要求来访者带上能够被识别的来访者牌	<input type="checkbox"/>	<input type="checkbox"/>
34. Are visitors escorted at all times by company personnel while on the premises? 要求来访者由公司人员全程陪同	<input type="checkbox"/>	<input type="checkbox"/>
35. Are vendors and other delivery personnel required to present photo identification upon arrival? 供应商及送货者要求出示带有照片的身份证或其他身份证明	<input type="checkbox"/>	<input type="checkbox"/>
36. Are arriving packages and mail periodically screened before delivery within the company? 派送前对包裹/快递进行安全性筛选	<input type="checkbox"/>	<input type="checkbox"/>
37. Do you have identification procedures for all employees, visitors and vendors at all points of entry? 有识别所有员工，来访者和供应商的系统	<input type="checkbox"/>	<input type="checkbox"/>
38. Do you have procedures to challenge unauthorized/unidentified persons and to report problems to local law enforcement officials? 有质询，和确认未经许可进入人员或者身份不明人员的程序	<input type="checkbox"/>	<input type="checkbox"/>
Procedural Security 程序安全		
39. Do you have a designated security officer to supervise the loading of cargo into containers, trailers and railcars? 有安全部门人员监管装货（集装箱，拖车，等）	<input type="checkbox"/>	<input type="checkbox"/>
40. Do you verify the quantity and identity of goods against the purchase order prior to shipment? 按订单核对所装货物和数量	<input type="checkbox"/>	<input type="checkbox"/>
41. Do you have procedures to resolve discrepancies prior to shipment? 所有货物的短缺，超额和其他重大的差异或异常情况都必须得到合理的解决和/或调查	<input type="checkbox"/>	<input type="checkbox"/>
42. Do you track the timeliness of incoming and outgoing cargo movements? 建立跟踪进出货物及时动向的程序	<input type="checkbox"/>	<input type="checkbox"/>
43. Are drivers receiving cargo positively identified before cargo is released? 在货物被接收或者发放前应对装运或接收货物的驾驶员进行积极的身份认定	<input type="checkbox"/>	<input type="checkbox"/>
44. Do you maintain a written log of the identity of drivers who pick up cargo and the number of the container that was picked up? 保留书面驾驶员记录	<input type="checkbox"/>	<input type="checkbox"/>
45. Do you have procedures to ensure that all information related to our orders is protected against loss or the introduction of erroneous information? 制定程序确保所有订单信息被保护	<input type="checkbox"/>	<input type="checkbox"/>
46. Do you have procedures to ensure that all shipping documents are legible, complete and accurate? 制定程序确保所有货运单证的准确无误	<input type="checkbox"/>	<input type="checkbox"/>
47. Are your computer systems and the information stored on them protected against unauthorized access? 制定程序保护计算机系统/IT 系统不被擅自闯入	<input type="checkbox"/>	<input type="checkbox"/>
48. Do you have procedures to notify U.S. Customs and/or local law enforcement officials when anomalies or illegal activities are suspected? 制定程序当发现有异常，非法或可疑活动，必须通知美国海关或其他适当的执法机关	<input type="checkbox"/>	<input type="checkbox"/>



Information Technology Security 信息技术安全		
49. Do you use individually assigned accounts for personnel to access your information technology systems? 个人使用计算机时候, 使用各自的密码登录	<input type="checkbox"/>	<input type="checkbox"/>
50. Do you require users to periodically change the passwords used to access your information technology systems? 要求定期更改密码	<input type="checkbox"/>	<input type="checkbox"/>
51. Do you use firewalls to protect your information technology systems from unauthorized access from the internet? 使用防火墙保护 IT 系统	<input type="checkbox"/>	<input type="checkbox"/>
52. Do you have a system to identify the abuse of your information technology systems including the improper access, tampering or altering of business data? 必须有识别滥用信息技术的体系	<input type="checkbox"/>	<input type="checkbox"/>
Personnel Security 个人安全		
<i>The following personnel security procedures must be followed in accordance with local laws and regulations.</i>		
53. Do you conduct pre-employment screening and background checks on prospective employees? 有审查预期雇佣的雇员的程序	<input type="checkbox"/>	<input type="checkbox"/>
54. Do you verify the information provided by job applicants, including employment history and references? 在雇佣员工前应审核申请表信息	<input type="checkbox"/>	<input type="checkbox"/>
55. Do you have procedures to report and manage problems related to personnel security? 有程序审核员工的安全/犯罪记录	<input type="checkbox"/>	<input type="checkbox"/>
56. Do you periodically conduct background checks on current employees based on cause and/or the sensitivity of the employee's position? 定期对员工进行背景调查	<input type="checkbox"/>	<input type="checkbox"/>
57. Do you have procedures to remove identification badges and to deny facility and information systems access for terminated employees? 公司对于离职的员工必须有去除身份证明标志, 设备和进入系统设施的程序	<input type="checkbox"/>	<input type="checkbox"/>
Employee Education and Awareness 员工培训和忧患意识		
58. Do you have or plan to have a security awareness program to educate employees to recognize internal conspiracies, maintain cargo integrity and to identify and address security issues? 建立员工安全/忧患意识培训的程序, 以便识别以及培养对供应链的各个点上恐怖分子和走私者所带来的威胁的意识	<input type="checkbox"/>	<input type="checkbox"/>
59. Does the security awareness program encourage and reward employees for active participation in security programs? 忧患意识培训包括设立奖励机制以鼓励参与者	<input type="checkbox"/>	<input type="checkbox"/>
Threat Awareness 保持忧患意识		
60. Do you have or plan to have a threat awareness program established and maintained by security personnel and designed to recognize and foster awareness of the threat posed by terrorists and contraband smugglers? 建立员工安全防范意识培训的程序	<input type="checkbox"/>	<input type="checkbox"/>
Verification		
61. Are the specific procedures identified in this questionnaire response maintained in a verifiable format? 是否以上所有回复及程序用一定格式保存	<input type="checkbox"/>	<input type="checkbox"/>

Name of Person Completing or Supervising the Completion of the Questionnaire:

Name and Title

Date

Signature



Please email or fax the completed form to:

GlobalCompliance@ColonyBrands.com (Email)

or

800-420-1356 (Fax)